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CONFIRMATION NO. 1548

Bib Data Sheet

SERIAL NUMBER 09/955,394	FILING OR 371(c) DATE 09/17/2001 RULE	CLASS 705	GROUP ART UNIT 3694	ATTORNEY DOCKET NO. 02821.P004X
APPLICANTS Jason S. Scott, San Carlos, CA; Christopher L. Jones, Redwood Shores, CA; James W. Shearer, San Francisco, CA; John G. Watson, Menlo Park, CA;				
** CONTINUING DATA ***** This application is a CIP of 09/151,715 09/11/1998 PAT 6,292,787				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY ** ** 10/25/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged		STATE OR COUNTRY CA	SHEETS DRAWING 10	TOTAL CLAIMS 41
Examiner's Signature _____ Initials _____		INDEPENDENT CLAIMS 7		
ADDRESS Michael A. DeSanctis BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP 7th Floor 12400 Wilshire Boulevard Los Angeles, CA90025				
TITLE ENHANCING UTILITY AND DIVERSIFYING MODEL RISK IN A PORTFOLIO OPTIMIZATION FRAMEWORK				
FILING FEE RECEIVED 1863	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	



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